

Attorney Docket No.: 43426.00041

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#### **CERTIFICATE OF MAILING**

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Date:	<u>````````````````````````````````````</u>	Sandy Yi		
In re Applica	ation of:	Examiner:	Unknown	
	Moshe Rubin, et al.			
Serial No.:	09/459,493	Art Unit:	2787	
Filing Date:	December 13, 1999			
For:	METHOD AND SYSTEM FOR COPYRIGHT PROTECTION OF DIGITAL IMAGES		·	

Commissioner for Patents P.O. Box/1450 Alexandria, VA 22313-1450

## NOTICE OF CHANGE OF ATTORNEY DOCKET NUMBER

Sir:

Due to a file transfer, the name of Applicants' representative has been changed from Fenwick & West LLP to Squire, Sanders & Dempsey L.L.P. The Revocation of Power of Attorney, Power of Attorney and Statement Under 37 CFR 3.73(b) are being filed herewith. As a result of the file transfer, the attorney docket number has also been changed from 6866-101C2 to 43426.00041.

Please change your records to reflect the new attorney docket number.

8-8-03

Squire, Sanders & Dempsey L.L.P.

600 Hansen Way

Palo Alto, CA 94304-1043

Telephone (650) 856-6500 Facsimile (650) 843-8777

PaloAlto/52933.1

Respectfully submitted,

Marc A. Sockol, Esq. Attorney for Applicants

Reg. No.: 40,823

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er the Paperwork Reduction As	95,	no persons are required to respond to a	collection of in

Unde FEE TRANSMITTAL for FY 2003 Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Applicant claims small entity status. See 37 CFR 1.27

	Complete if Known					
Application Number	09/459,493					
Filing Date	December 13, 1999					
First Named Inventor	Moshe Rubin					
Examiner Name	Unknown					
Art Unit	2787					
Attorney Docket No.	43426.00041 (f/k/a 6866-101C2)					

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
				3. ADD	IANOITI	L FEES				
_	Or	ney 🔲 Other 🔲	None		Large	Entity	Small E	intity		
□ Deposit Accou     □	nt:			1	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description F	ee Pald
Deposit Account	05-0150				1051	130	2051	65	Surcharge - late filing fee or oath	
Number	05-0150				1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit				1	1053	130	1053	130	Non-English specification	
Account	Squire, Sanders &	Dempsey L.L.P.			1812	2,520	1812	2,520	For filing a request for reexamination	
Name	41	-1		]	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
The Director is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☑ Credit any overpayments ☑ Charge any additional fee(s) during the pendency of this application				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) is	ndicated below, ex	cept for the filing f	ee		1251	110	2251	55	Extension for reply within first month	
to the above-identi		nt. CULATION			1252	410	2252	205	Extension for reply within second month	
		- COLATION		_	1253	930	2253	465	Extension for reply within third month	
	Small Entity				1254	1,450	2254	725	Extension for reply within fourth month	
	· · · · · · · · · · · · · · · · · · ·	Description	Fee Paid		1255	1,970	2255	985	Extension for reply within fifth month	
	ode (\$)		Fee Paid	_	1401	320	2401	160	Notice of Appeal	
1001		ity filing fee	ļ	-	1402	320	2402	160	Filing a brief in support of an appeal	
-		sign filing fee		4	1403	280	2403	140	Request for oral hearing	
		int filing fee issue filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	-
1005 160 2	005 80 Pro	visional filling fee			1452	110	2452	55	Petition to revive – unavoidable	
I	0.1070741 //		(A) 0	_	1453	1,300	2453	650	Petition to revive – unintentional	
	SUBTOTAL (1	)	(\$)0	_'	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIM	FEES				1502	470	2502	235	Design issue fee	
		xtra Fee from	Fee		1503	630	2503	315	Plant issue fee	
		laims below	Paid	_	1460	130	1460	130	Petitions to the Commissioner	
Total Claims	20 ** =0	×	_] = [0	╡	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims	3 =0	x	= 0		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent		x	= 0		8021	40	8021	40	Recording each patent assignment per property (times number of	
Large Entity	Small Entity								properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Description			1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 1201 84	2202 9 2201 42	Claims in excess of Independent claims		3	1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1203 280	2203 140	Multiple dependent	•		1801	750	2801	375	Request for Continued Examination (RCE)	
1204 84	2204 42	** Reissue independ original patent			1802	900	1802	900	Request for expedited examination	
1205 18	2205 9	** Reissue claims in over original patent	excess of 20	and	1				of a design application	
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**or number næv	iously naid if orea	ter For Reissues se	e above	_	-Keana	æa by B	asic Filin	A Les Le	aid SUBTOTAL (3) (\$) 0	

SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Marc A. Sockol	Registration No. Attorney/Agent)	40,823	Telephone	650.856.6500
Signature	MASI	2		Date	August 8, 2003

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warning: information of this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/82 (10-00)

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**REVOCATION OF POWER OF ATTORNEY OR AUTHORIZATION OF AGENT** 

Application Number	09/459,493	
Filing Date	December 13, 1999	
First Named Inventor	Moshe Rubin	
Group Art Unit	2787	
Examiner Name	Unknown	
Attorney Docket Number	43426.00041	

I hereby rev identified ap		ous powers of attorn	ey or authorizat	ions of agen	ıt given	in the abov	/e-
<ul> <li>☑ A Power of Attorney or Authorization of Agent is submitted herewith.</li> <li>OR</li> <li>☐ Please change the correspondence address for the above-identified application to:</li> <li>☐ Customer Number</li> <li>☐ Customer Number Sar Code Label here</li> </ul>							
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I am the:							
<ul> <li>☐ Applicant/Inventor.</li> <li>☑ Assignee of record of the entire interest. See 37 CFR 3.71.</li> <li>Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)</li> </ul>							
SIGNATURE of Applicant or Assignee of Record							
Name Marc A. Berger, Reg. No. 44,029 FINJAN SOFTWARE, LTD.							
Signature	Mal	? R -					
Date 8/4/03							
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▼ Total of 1 form is submitted.							

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PTO/SB/81 (02-01) re through 10/31/2002. OMB 0651-0035 U.S. DEPARTMENT OF COMMERCE

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e required to respond to a collection of in	nformation unless it displays a valid OMB control number.
Application Number	09/459,493
Filing Date	December 13, 1999
First Named Inventor	Moshe Rubin
Group Art Unit	2787
Examiner Name	Unknown
Attorney Docket Number	43426.00041

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☑ Practition	oner(s) nar	med below:	·				J 	
	Name/ Registration Number							
Kerrigan, 27,350; D Weinstein Repkin, R No. 43,43' Sung I. O	Marc A. Sockol, Reg. No. 40,823; Vidya R. Bhakar, Reg. No. 42,323; Daryl C. Josephson, Reg. No. 37,365; Cameron K. Kerrigan, Reg. No. 44,826; David B. Abel, Reg. No. 32,394; Nathan Lane III, Reg. No. 43,738; Michael A. Lechter, Reg. No. 27,350; David E. Rogers, Reg. No. 38,287; William R. Bachand, Reg. No. 34,980; William C. Steffin, Reg. No. 26,811; Les J. Weinstein, Reg. No. 19,625; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Victor Repkin, Reg. No. 45,039; Alexander B. Ching, Reg. No. 41,669; Douglas H. Goldhush, Reg. No. 33,125; Kevin F. Turner, Reg. No. 43,437; Dinnatia J. Doster, Reg. No. 45,268; Charles E. Runyan, Reg. No. 43,066; Stuart A. Whittington, Reg. No. 45,215; Sung I. Oh, Reg. No. 45,583; and Donnie L. Kidd, Reg. No. 50,285 of SQUIRE, SANDERS & DEMPSEY, L.L.P. and Marc A. Berger, Reg. No. 44,029 of FINJAN SOFTWARE, LTD.							
		or agent(s) to pros nected therewith.	secute the application	identified	d above, and to	transact all business in the	Patent and	
Please cha	ange the co	orrespondence ad	dress for the above-i	dentified a	application to:			
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	iners at Cu	stomer Number	30256			Label here 30256	_	
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⊠ Assign		ord of the entire int	terest. See 37 CFR 3				:	
Certino	ate ander	, , , , , , , , , , , , , , , , , , ,	GNATURE of Applic	•		ord		
Name	Marc A. FINJAN				-	<u> </u>		
Signature	M.	a B				. , ,		
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NOTE: Signa	atures of a	all the inventors o	r assignees of reco	rd of the	entire interest o	or their representative(s) a	ire required.	
Submit muiti			signature is require	u, see de				

PTO/SB/96 (08-00)
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<u>\$1</u>	
STATEMENT UNDE	R 37 CFR 3.73(b)
A cant/Patent Owner: Finjan Software, Ltd.	
pplication No./Patent No.: 09/459,493 File	ed/Issue Date: December 13, 1999
Entitled: Method and System for Copyright Protection of Digita	l Images
Finjan Software, Ltd. , a corporati	on
(Name of Assignee) (Type of Assign	nee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:	
1.	or
2. an assignee of an undivided part interest	
in the patent application/patent identified above by virtue of eith	ner:
A.  An assignment from the inventor(s) of the patent applica recorded in the Patent and Trademark Office at Reel attached.	tion/patent identified above. The assignment was , Frame, or for which a copy thereof is
OR	
B. A chain of title from the inventor(s), of the patent applica shown below:	tion/patent identified above, to the current assignee as
1. From: Moshe Rubin, et al.  To: ALC  The document was recorded in the United States Parameter (1997). The Reel 010716, Frame 0124, or for which a copy there	
From: ALCHEMEDIA LTD.     To: FIN     The document was recorded in the United States Parame, or for which a copy there	
3. From: To: The document was recorded in the United States Parame, or for which a copy there  Additional documents in the chain of title are listed or	 atent and Trademark Office at eof is attached.
☐ Copies of assignments or other documents in the chain of t	
[NOTE: A separate copy (i.e., the original assignment docur must be submitted to Assignment Division in accordance wi recorded in the records of the USPTO. See MPEP 302.8]	th 37 CFR Part 3, if the assignment is to be
The undersigned (whose title is supplied below) is empowered	to sign this statement on behalf of the assignee.
8/4/03	March
₹ Date	Signature
	Marc A. Berger Typed or printed name
	General Counsel

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Title

### **ASSIGNMENT**

WHEREAS, ALCHEMEDIA LTD., an Israeli company having a mailing address of P.O. Box 400, Azor Tasia Har Tuv, Mercaz Ganir, Beit Shemesh 99100, ISRAEL (hereinafter "ASSIGNOR"), owns the U.S. patents and patent applications as listed on Exhibit A, attached hereto.

WHEREAS, FINJAN SOFTWARE, LTD. (hereinafter "ASSIGNEE"), an Israeli company having its principal place of business at Citco Bldg., Giborai Israel Street, South Netanya 42504, ISRAEL, desires acquiring interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the inventions as described in the aforesaid patents and patent applications, for the United States and in foreign countries, and in and to any reissue or reexamination thereof, and hereby authorizes and requests the Assistant Commissioner of Patents to maintain said Letters Patents for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives.

AND HEREBY AGREES, upon request of ASSIGNEE, and without further remuneration, to provide promptly to ASSIGNEE, all pertinent facts and documents relating to said inventions and said Letters Patents and legal equivalents as may be known and accessible to ASSIGNOR and to testify as to the same in any interference, litigation or proceeding related thereto and to promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to maintain and enforce said Letters Patents and which may be necessary or desirable to carry out its purpose thereof.

EXECUTED this 15th day of May, 2003.

ALCHEMEDIA LTD.

Printed name: Daniel A. Schreiber

Title: Chief Executive Office

PaloAlto/52577.1



# EXHIBIT A TO ASSIGNMENT FROM ALCHEMEDIA LTD. TO FINJAN SOFTWARE, LTD.

- 1. U.S. Patent No. 6,209,103, entitled "Methods and Apparatus for Preventing Reuse of Text, Images and Software Transmitted via Networks," issued on March 27, 2001, by inventors Daniel Schreiber and David Guedaliah.
- 2. U.S. Patent Application No. 09/595,814, entitled "Methods and Apparatus for Preventing Reuse of Text, Images and Software Transmitted via Networks," filed on June 16, 2000, by inventors Daniel Schreiber and David Guedaliah.
- 3. U.S. Patent Application No. 09/595,839, entitled "Methods and Apparatus for Preventing Reuse of Text, Images and Software Transmitted via Networks," filed on June 16, 2000, by inventors Daniel Schreiber and David Guedaliah.
- 4. U.S. Patent Application No. 09/636,299, entitled "Method and System for Copy Protection of Images Displayed on a Computer Monitor," filed August 10, 2000, by inventors Moshe B. Rubin and Moishe Halibard.
- 5. U.S. Patent Application No. 09/459,493, entitled "Method and System for Copyright Protection of Digital Images," filed December 13, 1999, by inventors Moshe Rubin, Andrew Goldman and Daniel A. Schreiber.
- 6. U.S. Patent No. 6,298,446, entitled "Method and System for Copyright Protection of Digital Images Transmitted over Networks," issued October 2, 2001, by inventors Daniel Schreiber and Andrew Goldman.
- 7. U.S. Patent Application No. 09/996,623, entitled "Method and System for Copy Protection of Displayed Data Content," filed November 28, 2001, by inventors Moshe Rubin and Daniel Schreiber.
- 8. U.S. Patent Application No. 10/141,308, entitled "Method and System for Real-Time Control of Document Printing," filed May 7, 2002, by inventor Daniel I. Goodman.
- 9. U.S. Patent Application No. 09/774,236, entitled "Method and System for Copy Protection of Data Content," filed January 29, 2001, by inventors Daniel Schreiber and Daniel Isaac Goodman.
- U.S. Patent Application No. 09/730,326, entitled "Copyright Protection of Digital Images Transmitted Over Networks," filed December 4, 2000, by inventors Daniel Schreiber and Andrew Goldman.
- 11. U.S. Patent No. 6,353,892, entitled "Copy Protection of Digital Images Transmitted Over Networks," issued March 5, 2002, by inventors Daniel Schreiber and Andrew Goldman.

- 12. U.S. Patent Application No. 09/731,572, entitled "Copyright Protection of Digital Images Transmitted Over Networks," filed December 5, 2000, by inventors Daniel Schreiber and Andrew Goldman.
- U.S. Patent Application No. 10/202,550, entitled "Method and System for Controlling
  Use of a Dynamically Linked Software Library," filed July 23, 2002, by inventors Daniel
  I. Goodman and David Leib.

PaloAlto/52740.1

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Signature

PTO/SB/21 (05-03)

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ADEMAN TPANSMITTAL		Application Number	09/459,493			
TRANSMITTAL	•	Filing Date	December 13, 1999			
FORM		First Named Inventor	Moshe Rubin			
(to be used for all correspondence after i	nitial filing)	Art Unit	2787			
		Examiner Name	Unknown			
Total Number of Pages in This Submission	10	Attorney Docket Number	43426.00041 (f/k/a 6866-101C2)			
	ENCLO	OSURES (check all that apply)	<del></del>			
" " " "		nent Under 37 CFR 3.73(b) (4 pages)	After Allowance Communication to Group			
Request for Corrected Filing	☐ Drawin	g(s) Sheets	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Licensi	ing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final	Petition	1	Proprietary Information			
☐ With RCE	Agent	of Attorney or Authorization of signed by Marc A. Berger of Software, Ltd. (1 page)	Status Request			
Revoca		ation of Power of Attorney or ization of Agent (1 page)	Other Enclosure(s) (please identify below):			
Return Postcard		al Disclaimer st for Refund	Notice of Change of Attorney Docket Number  AUC 14 2003			
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Certified Copy of Priority Document(s)	Rema		AUC 14			
Response to Missing Parts/ Incomplete Application			בוודבו			
Declaration/Oath			R 2800			
SIGNA	TURE OF	APPLICANT, ATTORNEY, O				
Firm or Individual name  Marc A. Sockol, R Squire, Sanders & 600 Hansen Way Palo Alto, CA 943	eg. No. 40,82 Dempsey L.	23				
Signature MASI						
Date August 8, 2003	Date August 8, 2003					
	CE	RTIFICATE OF MAILING				
I hereby certify that this correspondence Service with sufficient postage as first of Director of the U.S. Patent and Trademan	class mail in	an envelope addressed to: Ma	or deposited with the United States Postal il Stop Assignment Recordation Services, 3-1450 on the date shown below.			
Typed or printed name Sandy Yi						

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Date

August 8, 2003